



COMMUNICATIONS
OF THE PATENT & TRADEMARK OFFICE

PAVI-25,964

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John P. Havener, Stephen Piche, Donald Semrad and Brian K. Stephenson
Appl. No.: 10/041,769
Filed: January 8, 2002
Grp./A.U.: 2121
Examiner: Not Yet Assigned
Title: A METHOD FOR OPTIMIZING A PLANT WITH MULTIPLE INPUTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on
5/20/02
(Date of Deposit)
G. HOWISON
(Name of Person Mailing Document)
[Signature]
(Signature)
5/20/02
(Date of Signature)

**SUBMISSION OF MISSING PARTS
OF APPLICATION UNDER 37 C.F.R. 1.53**

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

1. A check in the total amount of \$1,698.00 to cover the filing fee (37 C.F.R. 1.16(a) et seq.) of \$1,568.00 and the surcharge set forth in 37 C.F.R. 1.16(e) of \$130.00. Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/PAVI-25,964 of HOWISON, THOMA & ARNOTT, L.L.P. ;
2. Copy of Form PTO-1533 - Notice to File Missing Parts of Application;
3. Notice of Loss of Entitlement to Small Entity Status; and
4. Preliminary Amendment.

Respectfully submitted,
HOWISON, THOMA & ARNOTT, L.L.P.
Attorneys for Applicants

[Signature]
Gregory M. Howison
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May 20, 2002

SUBMISSION OF MISSING PARTS
S/N 10/041,769

FREE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,698.00

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 10/041,769 |
| Filing Date | 1/8/02 |
| First Named Inventor | Havener |
| Examiner Name | Not yet assigned |
| Group Art Unit | 2121 |
| Attorney Docket No. | PAVI-25,964 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **20-0780/PAVI-25,964**

Deposit Account Name: **Howison, Thoma & Amott, L.L.P.**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. **ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|---------------------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 390 | 216 195 | Extension for reply within second month | |
| 117 890 | 217 445 | Extension for reply within third month | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 440 | 243 220 | Design issue fee | |
| 144 600 | 244 300 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |
| SUBTOTAL (3) | | | (\$) 130.00 |

FEE CALCULATION

1. **BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|---------------------|
| 101 710 | 201 355 | Utility filing fee | 740.00 |
| 106 320 | 206 160 | Design filing fee | |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 740.00 |

2. **EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 52 | -20** = 32 | x 18 | = 576.00 |
| 6 | -3** = 3 | x 84 | = 252.00 |
| Multiple Dependent | | | = 828.00 |

Large Entity Fee Code (\$)

Small Entity Fee Code (\$)

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------|---------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 828.00

*for number previously paid, if greater; For Reissues, see above

SUBMITTED BY

| | | | | | |
|-------------------|---------------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Gregory M. Howison | Registration No. (Attorney/Agent) | 30,646 | Telephone | 972-479-0462 |
| Signature | <i>Gregory M. Howison</i> | Date | 5/20/02 | | |